



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁶ : C07K 14/785, A61K 9/127, 9/19</p>	<p>A1</p>	<p>(11) International Publication Number: WO 97/35882</p> <p>(43) International Publication Date: 2 October 1997 (02.10.97)</p>
<p>(21) International Application Number: PCT/US97/03840</p> <p>(22) International Filing Date: 6 March 1997 (06.03.97)</p> <p>(30) Priority Data: 60/014,160 27 March 1996 (27.03.96) US</p> <p>(71) Applicant: ORTHO PHARMACEUTICAL CORPORATION [US/US]; U.S. Route 22 #202, Raritan, NJ 08869-0602 (US).</p> <p>(72) Inventors: BORNSTEIN, Michael; 90 Barchester Way, West- field, NJ 07090 (US). WILLIAMS, N., Adeyinka; 4083 North Mallard Lane, Doylestown, PA 18901 (US).</p> <p>(74) Agents: CIAMPORCERO, Audley et al.; Johnson & Johnson, One Johnson & Johnson Plaza, New Brunswick, NJ 08933- 7003 (US).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p>Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	
<p>(54) Title: LYOPHILIZED PULMONARY SURFACTANT PEPTIDE COMPOSITIONS</p>		
<p>(57) Abstract</p> <p>An improved solid pharmaceutical composition comprising a lyophilized liposomal KL4 pulmonary surfactant composition comprising: (a) about 1 to about 10 weight percent KL4 polypeptide; and (b) 50 to about 100 weight percent phospholipid comprised of about 3 parts DPPC to about 1 part POPG. A facile process for the production of the lyophilized solid composition is also provided.</p>		

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LYOPHILIZED PULMONARY SURFACTANT PEPTIDE COMPOSITIONS

Field of the Invention

5 The present invention relates to the field of
pharmaceutical compositions and the manufacture of
lyophilized liposomal pulmonary surfactant peptide or
protein compositions. More particularly, the invention
relates to a lyophilized pulmonary surfactant peptide or
protein composition with improved stability which, when
10 reconstituted, exhibits improved viscosity
characteristics.

Background of the Invention

15 Pulmonary surfactant is a complex mixture of lipids
and proteins that promotes the formation of a monolayer
at the alveolar air-water interface and, by reducing the
surface tension, prevents the collapse of the alveolus
during expiration. Premature infants, and occasionally
20 full term neonates, sometimes suffer from a condition
known as respiratory distress syndrome (RDS) due to the
lack of sufficient endogenous pulmonary surfactant.
Artificial pulmonary surfactants have therefore been
developed to treat this condition thereby reducing
25 infant morbidity and mortality. Likewise, artificial
pulmonary surfactants have also been indicated in the
treatment of adult respiratory distress syndrome.

One of these artificial pulmonary surfactants,
30 known as KL4, is disclosed in U.S. Patents 5,164,369 and
5,260,273. As described therein, KL4 is a synthetic
pulmonary surfactant composition comprising a

pharmaceutically acceptable phospholipid admixed with a polypeptide having alternating hydrophobic and positively charged amino acid residues. As formulated for clinical use, the composition is a liposome
5 comprised of dipalmitoyl-phosphatidylcholine (DPPC), palmitoyl-oleoyl-phosphatidylglycerol (POPG), palmitic acid (PA) and the synthetic peptide KL4 suspended in a buffered aqueous medium. The final drug product is a liposomal suspension intended for direct instillation
10 into the lung.

As mentioned, the artificial pulmonary surfactant, KL4, is a liposomal formulation. Liposomes are small vesicles comprising amphipathic lipids arranged in
15 spherical bilayers. Liposomes may contain many concentric lipid bilayers separated by aqueous channels (multilamellar vesicles or MLVs), or alternatively, they may contain a single membrane bilayer (unilamellar vesicles), which may be small unilamellar vesicles
20 (SUVs) or large unilamellar vesicles (LUVs). The lipid bilayer is composed of two lipid monolayers having a hydrophobic "tail" region and a hydrophilic "head" region. In the membrane bilayer, the hydrophobic "tails" of the lipid monolayers orient towards the center of the
25 bilayer, whereas the hydrophilic "heads" orient toward the aqueous phase.

Liposomes may be used to encapsulate a variety of materials by trapping hydrophilic compounds in the
30 aqueous interior or between bilayers, or by trapping hydrophobic compounds within the bilayer. As such, they are particularly useful to deliver biologically active

materials by encapsulating compounds which exhibit poor aqueous solubility or which exhibit unacceptable toxicity at therapeutic dosages.

5 Currently, the KL4 liposomal pulmonary surfactant composition is prepared and stored in a liquid state. Because the peptide and phospholipid components of the composition are subject to degradation by hydrolysis in the aqueous liquid state, the solution must be kept
10 under refrigerated conditions to retard the hydrolysis and achieve long term stability. However, refrigeration is a drawback to commercial application of the product. Accordingly, the main objective of the project culminating in the instant invention was to provide a
15 KL4 pulmonary surfactant dosage form with improved stability at room temperatures.

 It is known in the art that lyophilizing a product which is relatively unstable in aqueous solution can
20 result in a product that is stabilized and therefore has a longer shelf life than an aqueous solution. (See Remington's Pharmaceutical Sciences", 15th Ed. Mack Publishing Co., Easton, Pa., pp 1483-1485). Accordingly, the technique known as lyophilization is often employed
25 for injectable pharmaceuticals which exhibit poor stability in aqueous solution. This process involves freeze-drying, whereby ice is sublimed from frozen solutions leaving only the solid, dried components of the original liquid. The process has numerous advantages
30 in that the aqueous solution can be processed and filled into dosage containers in a liquid state, dried at low temperatures thereby eliminating adverse thermal

effects, and stored in the dried state where it may be more stable. In addition, the lyophilized product is ordinarily rapidly soluble and is easily reconstituted prior to administration to a patient. The lyophilization process has been applied to aqueous liposomal suspensions as well as ordinary liquid solutions.

Pharmaceuticals to be freeze dried are usually in aqueous solution ranging from 0.01 to 40% w/v in concentration of total solids. Final moisture content of the dried product is generally below 2% w/v, although some products may have a higher moisture content.

Thus, the object of the present invention is to provide a KL4 pulmonary surfactant composition with enhanced stability by the application of lyophilization to the liposomal suspension. Quite unexpectedly, it was discovered that the unique solid composition resulting from the lyophilization process exhibits improved viscosity characteristics when reconstituted.

Summary of the Invention

The invention concerns an improved solid pharmaceutical composition comprising a lyophilized liposomal KL4 pulmonary surfactant composition that exhibits enhanced stability in the dry form and improved homogeneity and viscosity characteristics when reconstituted. A facile process for the production of the solid composition is also provided by the present invention, comprising the steps of:

- (a) filling a container with liposomal KL4

- pulmonary surfactant suspension to a desired surfactant content;
- 5 (b) lyophilizing the suspension to a residual water content of 5% w/v or less by rapidly freezing the suspension in the container to about -40°C or below and reducing chamber pressure at appropriate shelf temperature to complete sublimation of ice; and
- 10 (c) aseptically sealing the container which contains the lyophilized KL4 pulmonary surfactant solid composition.

Brief Description of the Drawings

15 FIG. 1 is a graph showing the results of viscometry testing of the lyophilized composition of the present invention in comparison with the non-lyophilized form.

Detailed Description

20 The therapeutically active component of this invention is a liposomal suspension of a polypeptide useful as a pulmonary surfactant as disclosed in U.S. Patents 5,164,369 and 5,260,273, hereby incorporated by reference into the present application. Preferably, the polypeptide useful in the present invention is the polypeptide referred to therein as KL4.

30 The artificial pulmonary surfactant polypeptides for use in the invention may be prepared by any techniques that are well known to those skilled in the art, for example by solid phase synthesis, by

recombinant DNA techniques, or by classical solution
synthesis. Methods for the production of the
polypeptides are described in U.S. patent 5,164,369,
hereby incorporated by reference into the present
5 application.

The liposomal composition comprises a
pharmaceutically acceptable phospholipid admixed with
the polypeptide as described above. In preferred form,
10 the composition is a liposome comprised of dipalmitoyl-
phosphatidylcholine (DPPC),
palmitoyloleoylphosphatidylglycerol (POPG), palmitic
acid (PA) and the synthetic peptide KL₄ suspended in a
buffered aqueous medium. The polypeptide is generally
15 present in amounts of 1 to about 10 weight percent of
the surfactant. The surfactant can contain about 50 to
almost 100 percent weight total phospholipid; which is
generally composed of about 3 parts DPPC to 1 part POPG.
Preferably, the composition contains about 0.15 parts PA
20 to 1 part total phospholipid. The final drug product is
a liposomal suspension intended for direct instillation
into the lung.

In accordance with the present invention, the
25 lyophilized liposomal KL₄ pulmonary surfactant
composition is manufactured from the aqueous liposomal
suspension containing approximately 1 mg/ml polypeptide
and 30mg/ml total phospholipid. Appropriately sized
vials, preferably 5 - 100 ml, are filled with the
30 aqueous liposomal suspension with a fill volume of 5 to
75 ml per vial. The vials are then frozen in the
lyophilization chamber, preferably at a gradual rate of

0.5 - 1°C/min, for approximately 2 hours to a temperature of about -40°C or until completely frozen. After cooling the lyophilization chamber pressure is reduced to about 1000 microns Hg or less. The shelf
5 temperature is then raised to -20°C - +20°C and held until sublimation of ice is substantially complete. The shelf temperature is then gradually raised, preferably at a rate of 0.5°C/min and held for about 2 hours or more. Chamber pressure is then raised to atmospheric
10 pressure and the vials are aseptically sealed.

The lyophilized dry composition prepared by the method of the instant invention exhibits enhanced stability and can be stored at room temperature for 6
15 months or greater depending on product specifications. The sealed vials are intended for use as single dose formulations following reconstitution with appropriate volumes of Sterile Water for Injection. It is intended that the filled vials will allow rapid dispersion of the
20 solid composition upon reconstitution with water in situ giving an appropriate sterile suspension of the desired pulmonary surfactant concentration for administration. The lyophilized product is a white powder which undergoes reconstitution in about 1-5 minutes by
25 swirling the vial.

The vials utilized should be capable of maintaining a sterile environment by being hermetically sealed by means of a stopper and overseal. The vials
30 should be of an appropriate size, considering the volume of suspension to be held upon reconstitution of the lyophilized composition; and should be made of

appropriate material, generally Type I glass. The stopper means employed, preferably sterile rubber closures or an equivalent, should provide the appropriate seal but allow entry for the purpose of
5 introducing the diluent for reconstitution.

It is contemplated that other ingredients may be included in the formulation of the product of the present invention. These may include buffers to affect
10 the pH of the solution, wetting or emulsifying agents, antimicrobial agents, preservatives and the like. Also, bulking agents such as sodium bicarbonate, lactose, mannitol or dextrose may be included to improve the characteristics of the freeze-dried cake. Further, while
15 not required, certain protective sugars may be added to the preparation to maintain the integrity of the liposomes. A variety of sugars can be used, including such sugars as, for example, trehalose, maltose, sucrose, glucose, lactose and dextran. In general
20 disaccharide sugars have been found in the art to work better than monosaccharide sugars. Many variations of the above, along with other suitable vehicles will suggest themselves to those skilled in the art in light of the foregoing detailed description. All such obvious
25 variations are contemplated to be within the scope of the invention.

The lyophilized pulmonary surfactant composition of the present invention is preferably formulated for
30 endotracheal administration, e.g. when reconstituted as a suspension, as the lyophilized dry powder "dust" or as an aerosol. When used as an aerosol preparation, the

surfactant composition is supplied in finely divided form in combination with a propellant. Useful propellants are typically gases at ambient conditions, and are condensed under pressure. Lower alkane and flourinated alkane, such as freon, may be used. The aerosol is packaged in a suitable container under pressure equipped with a valve for delivery of the aerosol composition.

10 Depending on the dosage form utilized as described above, the pulmonary surfactant is administered by endotracheal tube, by aerosol administration or nebulization of the dust or the suspension into the inhaled gas. Amounts of the pulmonary surfactant between
15 about 0.1mg to about 90mg, are administered in one dose. For use in newborn infants, one or two doses are usually sufficient. Adults may require more frequent dosing.

 Results of Differential Scanning Calorimetry,
20 Scanning Electron Microscopy and ³¹PNMR analysis of the reconstituted lyophilized suspension revealed that, in general, the extent of association of the peptide with the lipid bilayer was comparable to the non-lyophilized suspension. These tests suggest that, on reconstitution
25 of the freeze-dried product, the important lipid-peptide associations that existed in the non-lyophilized suspension are reformed upon reconstitution.

 However, one unexpected advantage was observed when
30 the pulmonary surfactant composition is prepared in the lyophilized form in accordance with the present invention. The viscosity of the reconstituted suspension

is much lower than that of the non-lyophilized product (e.g 70 vs. 312 cp at 25°C). One of the problems encountered in the formulation and performance of liposomal KL₄ drug product is that the viscosity of the drug product can limit effective distribution in the lung, thereby reducing *in vivo* activity. The present invention is therefore intended to improve performance of KL₄ liposomal pulmonary surfactant by reducing viscosity of the final drug product. In addition, a less viscous product is easier to handle and administer.

The following examples describe in detail methods for preparation of a solid composition of the present invention. The examples also demonstrate a comparison of the stability and viscosity of the lyophilized product with the non-lyophilized product. It will be apparent to one skilled in the art that many modifications, both of methods and materials may be practiced without departing from the purpose and intent of this disclosure. From the foregoing description and the following examples, it is believed that one skilled in the art is able to use the invention to the fullest extent.

EXAMPLE 1

A synthetic KL₄ pulmonary surfactant composition containing approximately 30mg/ml total phospholipid is prepared in accordance with the procedures outlined in Cochrane et al., U.S. Patent No. 5,164,369 using the combination of KL₄ peptide:dipalmitoyl-phosphatidylcholine (DPPC): palmitoyloleoylphosphatidylglycerol (POPG): and

palmitic acid (PA) suspended in a buffered aqueous medium in amounts as follows:

	<u>Ingredient</u>	<u>Amount per ml</u>
5	DPPC	22.5mg
	POPG	7.5mg
	PA	4.5mg
	KL4	0.80mg
	Tromethamine (tris)	2.42mg
10	Sodium Chloride	7.60mg
	Glacial Acetic Acid	qs PH 6.5-8.0
	Sodium Hydroxide	qs pH 6.6-8.0
	Water for Injection	qs ad 1.0 ml.

15 20 ml vials containing 10 ml drug product are filled and placed into the lyophilization chamber. Shelf temperature is lowered at 0.5-1°C/min. to -40°C and held below -40°C for 2 hours. Chamber pressure is then reduced to 100 microns Hg, the shelf is ramped to 0°C at 20 0.5°C/min. and held for 48 hours. Shelf temperature is then raised to approximately +26°C at 0.5°C/min. and held for 12 hours. The chamber is then brought to atmospheric pressure with dry nitrogen and the vials are stoppered and removed from the chamber and sealed.

25 Prior to use in therapy, the vial contents are reconstituted with 9.6 ml of Sterile Water for Injection, to yield the original concentration of solids in the vial.

30

EXAMPLE 2

Lyophilized KL4 pulmonary surfactant prepared in accordance with Example 1 and its stability characteristics were compared with that of the non-lyophilized suspension. In addition to directly measuring the degradation of the individual components of the composition, the in vitro surfactant activity was measured by assessing the ability of the composition to lower the surface tension of a pulsating bubble in accordance with the procedures described in detail by Revak, et al., *Am. Rev. Respir. Dis.*, 134:1258-1265 (1986). Each sample was assayed in the pulsating bubble surfactometer for the ability to lower surface tension. The results are shown as the minimum/maximum surface tension at 1 minute. Lower values indicate increased surface tension lowering abilities. Results are set forth in Table 1:

TABLE 1
STABILITY OF LYOPHILIZED AND NON-LYOPHILIZED FORMS OF KL4-SURFACTANT

STOR. COND.	PRODUCT	KL4		DPPC		POPG		pH	PBS min/max at 1 minute
		mg/g	% Init	mg/g	% Init	mg/g	% Init		
INITIAL	Non-lyo	0.806	100.0	20.90	100.0	7.39	100.0	7.48	3.0/43.0
	Lyophilized	0.825	100.0	20.90	100.0	7.41	100.0	7.61	1.0/43.5
30°C/3 mth	Non-lyo	0.391	48.5	15.80	75.6	5.50	74.4	ND	ND
	Lyophilized	0.764	92.6	21.00	100.5	7.58	102.3	7.89	ND
30°C/6mth	Non-lyo	0.270	33.5	11.95	57.2	4.06	54.9	6.70	16.5/36.5
	Lyophilized	0.675	81.8	20.45	97.8	7.33	98.9	7.64	0.5/38.5

PBS = Pulsating Bubble Surfactometer

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An examination of the data demonstrates that the lyophilized composition of the present invention exhibits superior long term stability, both chemically and biophysically as shown by its ability to reduce surface tension in the pulsating bubble surfactometer assay.

EXAMPLE 3

10 The viscosity characteristics of the reconstituted lyophilized composition prepared in accordance with Example 1 was compared with that of the non-lyophilized form. In this test, a rheometer (Bohlin CS) is set up by choosing the appropriate measuring system for the sample (in this case, a cup and spindle system). The Yield Stress test is selected and the parameters desired for running this test are entered via a keyboard into a computer that runs the instrument automatically. The sample is then placed in a cup and the cup attached to a temperature-controlled reservoir. If the sample is a lyophilized powder, it is first reconstituted to produce a suspension that is then placed in the cup. The spindle is lowered into the cup and the sample is equilibrated at 25°C for 8 minutes. After equilibration, the run is started using the previously selected parameters for the Yield Stress test. In the process of measuring the viscosity profile of the sample, the instrument exerts increasingly larger stresses on the sample, then measures the angular deflection on the spindle and calculates the sample viscosity for each stress value. The viscosity profile of the sample is displayed in terms of viscosity (in

pascal-second) versus shear stress (in pascal or millipascal).

5 The results are set forth in FIG. 1. As can be seen, the reconstituted lyophilized suspension exhibits greatly reduced viscosity in comparison to the non-lyophilized suspension.

10

CLAIMS

We claim:

- 5 1. A lyophilized pulmonary surfactant solid composition with enhanced stability and viscosity characteristics comprising:
- (a) about 1 to about 10 percent weight KL4 polypeptide; and
- 10 (b) 50 to about 100 weight percent phospholipid comprised of about 3 parts DPPC to about 1 part POPG.
2. The lyophilizate composition of claim 1 containing
- 15 about 0.15 parts PA to 1 part total phospholipid.
3. The lyophilizate composition according to claim 1 containing less than 5% w/v residual water.
- 20 4. A pharmaceutical composition which consists essentially of the lyophilizate composition in accordance with claim 1 as active ingredient in association with a pharmaceutically acceptable excipient.
- 25 5. A pharmaceutical composition comprising the lyophilizate composition according to claim 1 reconstituted with sterile Water for Injection for administration.

30

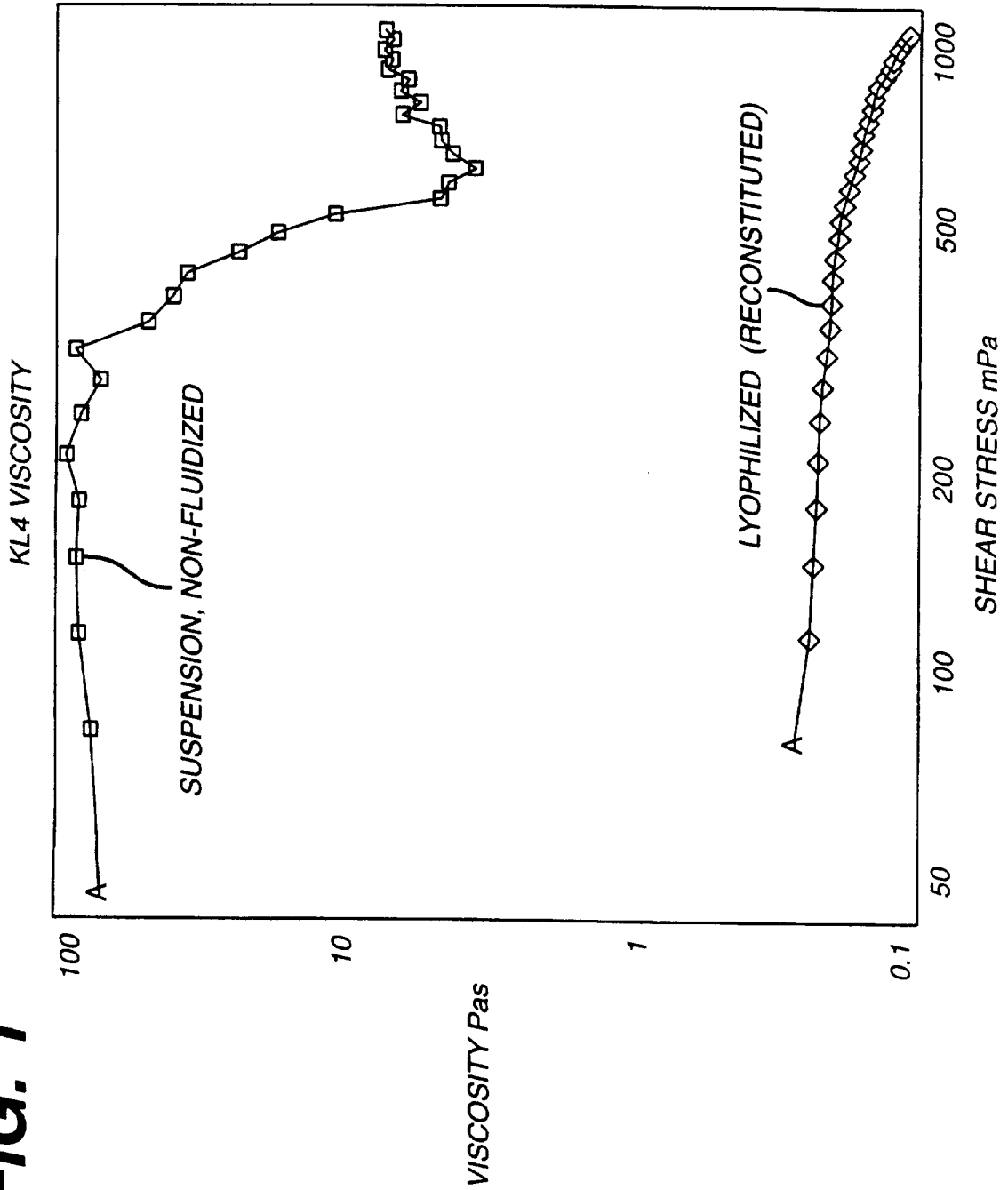
6. A single dose formulation comprising the lyophilizate composition in accordance with claim 1 in a single dose vial container means of sufficient size to allow reconstitution with water to give an intended volume of solution of desired surfactant composition for administration.
7. The single dose form of claim 6 wherein the lyophilizate composition comprises approximately 300 mg total phospholipid.
8. The single dose composition of claim 7 wherein said composition is reconstituted with 9.6 ml of water to provide suspension for administration.
9. A process for producing a lyophilized pulmonary surfactant solid composition with enhanced stability and viscosity characteristics comprising the steps of:
- (a) filling a container with liposomal KL4 pulmonary surfactant suspension to a desired surfactant content;
 - (b) lyophilizing the suspension to a residual water content of 5% w/v or less by rapidly freezing the suspension in the container to about -40°C or below and reducing chamber pressure at appropriate shelf temperature to complete sublimation of ice; and
 - (c) aseptically sealing the container which contains the lyophilized KL4 pulmonary surfactant solid composition.

10. The process of claim 9 wherein the lyophilization step comprises rapidly freezing the suspension of desired surfactant content and then using a chamber pressure of 100 microns Hg and a shelf temperature of 0°C for 48 hours.

11. A process for producing lyophilized KL4 pulmonary surfactant solid composition with enhanced stability and viscosity characteristics comprising the steps of:

- 10 (a) filling a container with liposomal KL4 pulmonary surfactant suspension to a desired surfactant content;
- 15 (b) lyophilizing the suspension to a residual water content of 5% w/v or less by rapidly freezing the suspension in the container to about -40°C or below , then reducing chamber pressure to 100 microns Hg and holding at a shelf temperature of 0°C for 48 hours;
- 20 (c) raising shelf temperature to approximately +26°C at 0.5°C/min. and holding for 12 hours then bringing the chamber to atmospheric pressure with dry nitrogen; and
- 25 (d) aseptically sealing the container which contains the lyophilized KL4 pulmonary surfactant solid composition.

FIG. 1



INTERNATIONAL SEARCH REPORT

International application No
PCT/US 97/03840

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 C07K14/785 A61K9/127 A61K9/19		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61K C07K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	see page 11 - page 12; example 4 -----	9-11
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Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+ 31-70) 340-3016	Authorized officer <div style="text-align: center; font-size: 1.2em;">Benz, K</div>	

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